



Facsimile Cover Sheet

Total number of pages	14	Date 13 September, 2004
To		
Name Examiner M.J. McMahon		
Department/Company US Patent & Trademark Office - Art Unit 3747		
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Fax +1 (703) 872-9306		Telephone +1 (703) 308-1956
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Message:

In re Application of)
 Richard Pateman et al.) Art Unit: 3747
 Application No. 10/616,522) Examiner: M.J. McMahon
 Filed: July 10, 2003)
 For: COMBINED SHUT-OFF VALVE)
 AND COVER FOR AN)
 ENGINE BREATHER SYSTEM)
 Attorney Docket No. 02-119)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Application No. 10/616,522
Attorney Docket No. 02-119

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Richard Pateman et al.)
Application No. 10/616,522)
Filed: July 10, 2003)
For: COMBINED SHUT-OFF VALVE)
AND COVER FOR AN)
ENGINE BREATHER SYSTEM)
Attorney Docket No. 02-119)

Art Unit: 3747

Examiner: M.J. McMahon

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Commissioner for Patents
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Alexandria, Virginia 22313-1450

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Sir:

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Name: JOHN J CHEEK

Date: 13 SEP 2004

Signature: John Cheek

Application No. 10/616,522
Attorney Docket No. 02-119

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The information listed on the attached form PTO/SB/08 is submitted for consideration by the examiner in connection with the above-captioned application.

An English language translation of the written description of previously submitted German publication DE 198 01 608 A1 is submitted herewith. The translation was obtained by use of the Alta Vista Internet translation service on September 13, 2004. Undersigned counsel has not evaluated the accuracy of the translation and thus makes no representation as to the accuracy of the translation.

A complete copy of Japanese publication JP 59 208 116 is submitted herewith. A copy of the English language "Patents Abstracts of Japan" document was previously submitted.

In case not already submitted, a copy of the European Search Report from the European priority application is submitted herewith as an indication of the relevance any non-English documents cited in the European Search Report (all of which were previously submitted and considered by the Examiner).

Although neither the English translation of DE 198 01 608 A1 nor the full copy of JP 59 208 116 was known to any person designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement, please charge the fee specified in 37 CFR §1.98(c)(2) to deposit account 03-1129. A fee transmittal sheet is attached.

Respectfully submitted,



John J. Cheek
Registration No. 39,628
Perkins Engines Co. Ltd.
Caterpillar Inc.

Telephone: (309) 675-8023
Facsimile: (309) 675-1236

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$ 180)		Application Number	10/614,522
		Filing Date	07/10/2003
		First Named Inventor	PATEMAN
		Examiner Name	M. J. McMahon
		Art Unit	3747
		Attorney Docket No.	02-119

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																														
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 03-1129 Deposit Account Name Caterpillar		3. ADDITIONAL FEES Large Entity Small Entity <table border="1"> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>26 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130 Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210 Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475 Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165 Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145 Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453</td> <td>665 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240 Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503</td> <td>320 Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50 Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180 Submission of Information Disclosure Stmt</td> <td>180</td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40 Recording each patent assignment per property (lines number of properties)</td> <td></td> </tr> <tr> <td>1808</td> <td>770</td> <td>2809</td> <td>365 Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810</td> <td>365 For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>770</td> <td>2801</td> <td>385 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td colspan="2">Other fee (specify)</td> </tr> <tr> <td colspan="2">*or number previously paid, if greater; For Reissues, see above</td> <td colspan="2">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">SUBTOTAL (3) (\$)</td> </tr> </table>		Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	1051	130	2051	65 Surcharge - late filing fee or oath		1052	50	2052	26 Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130 Non-English specification		1812	2,520	1812	2,520 For filing a request for ex parte reexamination		1804	920*	1804	920* Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action		1251	110	2251	55 Extension for reply within first month		1252	420	2252	210 Extension for reply within second month		1253	950	2253	475 Extension for reply within third month		1254	1,480	2254	740 Extension for reply within fourth month		1255	2,010	2255	1,005 Extension for reply within fifth month		1401	330	2401	165 Notice of Appeal		1402	330	2402	165 Filing a brief in support of an appeal		1403	290	2403	145 Request for oral hearing		1451	1,510	1451	1,510 Petition to institute a public use proceeding		1452	110	2452	55 Petition to revive - unavoidable		1453	1,330	2453	665 Petition to revive - unintentional		1501	1,330	2501	665 Utility issue fee (or reissue)		1502	480	2502	240 Design issue fee		1503	640	2503	320 Plant issue fee		1460	130	1460	130 Petitions to the Commissioner		1807	50	1807	50 Processing fee under 37 CFR 1.17(q)		1806	180	1806	180 Submission of Information Disclosure Stmt	180	8021	40	8021	40 Recording each patent assignment per property (lines number of properties)		1808	770	2809	365 Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	365 For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385 Request for Continued Examination (RCE)		1802	900	1802	900 Request for expedited examination of a design application		SUBTOTAL (1) (\$)		Other fee (specify)		*or number previously paid, if greater; 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SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	John J. Cheek		Registration No. (Attorney/Agent)	39,628
Signature	<i>John J. Cheek</i>		Telephone	309-675-8023
			Date	13 Sep 2004

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Substitute for form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/616,522
		Filing Date	07/10/2003
		First Named Inventor	PATEMAN
		Art Unit	3747
		Examiner Name	M.J. McMahon
		Attorney Docket Number	02-119

Sheet 1 of 1

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kinds of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

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